



Attorney's Docket No. 42P14868

2828
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)
Green et al.)
Application No.: 09/626,526)
Filed: July 27, 2000)
For: METHOD AND APPARATUS FOR)
FILTERING AN OPTICAL BEAM)

Examiner: Jackson, Cornelius H.
Art Unit: 2828

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Adrian Villarreal
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 A statement pursuant to 37 C.F.R. §1.97(e) or

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If there are any additional charges, please charge Deposit Account No.

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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 11-3-03



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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1. us-	4,410,992	10/18/1983	Javan	
	2. us-	4,460,977	07/17/1984	Shimada et al.	
	3. us-	4,847,854	07/11/1989	Van Dijk	
	4. us-	5,124,993	06/23/1992	Braunlich et al.	
	5. us-	5,163,063	11/10/1992	Yoshikawa et al.	
	6. us-	5,181,214	01/19/1993	Berger et al.	
	7. us-	5,414,280	05/09/1995	Girmay	
	8. us-	5,418,800	05/23/1995	Prior et al.	
	9. us-	5,444,724	08/22/1995	Goto	
	10. us-	5,673,129	09/30/1997	Mizrahi	
	11. us-	5,751,750	05/12/1998	Friede et al.	
	12. us-	5,777,773	07/07/1998	Epworth et al.	
	13. us-	5,812,716	09/22/1998	Ohishi	
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	18. us-	6,018,535	01/25/2000	Maeda	
	19. us-	6,034,799	03/07/2000	Hansen	
	20. us-	6,040,950	03/21/2000	Broome	
	21. us-	6,044,095	03/28/2000	Asano et al.	
	22. us-	6,064,501	05/16/2000	Roberts et al.	
	23. us-	6,081,539	06/27/2000	Mattori et al.	
	24. us-	6,115,121	09/05/2000	Erskine	
	25. us-	6,181,717 B1	01/30/2001	Kner et al.	
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	28. us-	6,249,365 B1	06/19/2001	Mizrahi et al.	
	29. us-	6,259,712 B1	07/10/2001	DeCain et al.	

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		Number-Kind Code ² (if known)			
	30.	us- 6,301,280 B1	10/09/2001	Broutin et al.	
	31.	us- 6,337,660 B1	01/08/2002	Eisman et al.	
	32.	us- 6,366,689 B1	04/02/2002	Rao et al.	
	33.	us- 6,366,592 B1	04/02/2002	Flanders	
	34.	us- 2002/0048297 A1	04/25/2002	Irie et al.	
	35.	us- 6,404,538 B1	06/11/2002	Chen et al.	
	36.	us- 6,441,933 B1	08/27/2002	Jang	
	37.	us- 2002/0126345 A1	09/12/2002	Green et al.	
	38.	us- 2002/0136104 A1	09/26/2002	Daiber	
	39.	us- 6,526,071 B1	02/25/2003	Zorabedian et al.	
	40.	us- 6,532,091 B1	03/11/2003	Miyazaki et al.	
	41.	us- 5,050,179	09/17/1991	Mooradian	
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	43.	us- 5,130,998	07/14/1992	Wakata et al.	
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	47.	us- 6,115,401	09-05-2000	Scobey et al.	
	48.	us- 5,263,037	11-16-1993	Trutna, Jr. et al.	
	49.	us- 6,301,274 B1	10-09-2001	Tayebati et al.	
	50.	us- 6,463,085 B1	10-08-2002	Tayebati	
	51.	us- 5,862,162	01/19/1999	Maeda	
	52.	us- 6,018,536	01/25/2000	Alphonse	
	53.	us- 6,314,115 B1	11-06-2001	Delfyett et al.	
	54.	us- 6,026,100	02-15-2000	Maeda	
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
55.	WO 98/05105		02/05/1998	Roychoudhuri et al.		
56.	WO 00/49689		08/24/2000	Zorabedian et al.		
57.	WO 01/04999 A1		01/18/2001	Zimmerman		
58.	WO 02/078137 A1		10/03/2002	Tuganov et al.		
59.	WO 03/005500 A2		01/16/2003	Sell et al.		
60.	WO 03/005501 A2		01/16/2003	Chapman et al.		
61.	WO 03/005512 A2		01/16/2003	Pontis et al.		
62.	PCT/US02/07672		08/22/2002	International Search Report		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	63.	KETELSEN, L. J. P., "Simple Technique for Measuring Cavity Loss in Semiconductor Lasers," <i>Electronics Letters</i> , (August 18, 1994), Vol. 30, No. 17, pp. 1422-1424.	
	64.	SHTENGEL, G. E., et al., "Internal Optical Loss Measurements in 1.3μm InGaAsP Lasers," <i>Electronic Letters</i> , (July 6, 1995), Vol. 31, No. 14, pp. 1157-1159.	
	65.	BOGGS, B. et al., "Simple High-Coherence Rapidly Tunable External-Cavity Diode Laser," <i>Optics Letters</i> , (December 15, 1998), Vol. 23, No. 24, pp. 1906-1908.	

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